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TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

ATTTORNEY'S DOCKET NUMBER 040621

| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 | | | | | | | | | | |
|--|---|--|--|--|--|--|--|--|--|--|
| INTERNATIONAL APPLICATION NO. PCT/EP03/006653 | INTERNATIONAL FILING DATE June 24, 2003 | PRIORITY DATE CLAIMED June 27, 2002 | | | | | | | | |
| TITLE OF INVENTION METHOD FO | OR SHAPING A METALLIC FLAT | MATERIAL, METHOD FOR THE | | | | | | | | |
| | MANUFACTURE OF A COMPOSITE MATERIAL AND DEVICES FOR PERFORMING THESE METHODS | | | | | | | | | |
| APPLICANT(S) FOR DO/EO/US Herbert Faehrrolfes, Michael Schiekel and Dr. Klemens Wesolowski | | | | | | | | | | |
| Applicant herewith submits to the United | ed States Designated/Elected Office (DO/EC | D/US) the following items and other information: | | | | | | | | |
| This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | | |
| 2. This is a SECOND or SUBSEQU | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | | |
| 3. X This is an express request to beg (5), (6), (9) and (21) indicated be | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | | |
| 4. X The US has been elected (Article | 31). | | | | | | | | | |
| 5. X A copy of the International App | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| a. X is attached hereto (re | a. X is attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. has been communica | ted by the International Bureau. | | | | | | | | | |
| c. is not required, as the | application was filed in the United States Receiv | ing Office (RO/US). | | | | | | | | |
| 6. X An English language translation | n of the International Application as filed (35 U.S.C | C. 371(c)(2)). | | | | | | | | |
| a. X is attached hereto. | | | | | | | | | | |
| b. has been previously | submitted under 35 U.S.C. 154(d)(4). | | | | | | | | | |
| 7. Amendments to the claims of the | Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) | | | | | | | | | |
| a. are attached hereto | a. are attached hereto (required only if not communicated by the International Bureau). | | | | | | | | | |
| b. have been commun | b. have been communicated by the International Bureau. | | | | | | | | | |
| c. have not been made | e; however, the time limit for making such amendr | ments has NOT expired. | | | | | | | | |
| d. have not been made | e and will not be made. | | | | | | | | | |
| 8. An English language translation | on of the amendments to the claims under PCT Ar | rticle 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. X An oath or declaration of the in | ventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | | |
| 10. An English language translatio Article 36 (35 U.S.C. 371(c)(5) | n of the annexes of the International Preliminary E). | Examination Report under PCT | | | | | | | | |
| Items 11 to 20 below concern docur | nent(s) or information included: | | | | | | | | | |
| 11. An Information Disclosure Stat | ement under 37 CFR 1.97 and 1.98. | | | | | | | | | |
| 12. An assignment document for r | ecording. A separate cover sheet in compliance w | oith 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. X A preliminary amendment. | | | | | | | | | | |
| 14. An Application Data Sheet und | ler 37 CFR 1.76. | | | | | | | | | |
| 15. A substitute specification. | | | | | | | | | | |
| 16. 🔀 A power of attorney and/or cha | ange of address letter. | | | | | | | | | |
| 17. A computer-readable form of t | he sequence listing in accordance with PCT Rule | 13ter.2 and 37 CFR 1.821- 1.825. | | | | | | | | |
| 18. A second copy of the publishe | d International Application under 35 U.S.C. 154(d |)(4). | | | | | | | | |
| 19. A second copy of the English | anguage translation of the international applicatio | n under 35 U.S.C. 154(d)(4). | | | | | | | | |

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the Ins colection of mormation is required by 37 CFR 1.4 to and 1.491-1.492. The information is required to obtain or retain a behind by the public, which is to the (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 2

Other items or information:

1.

DT01 Rec'd PCT/PTC 2 2 DEC 2004

PT0-1390 (Rev. 12-2004)

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| U.S. APPLICATION NO. (if known, see 37 CFR 1.5) INTERNATIONAL APPLICATION NO. | | | | ATTORNEY'S DOCKET NUMBER | | | | | |
|--|-------------------------------------|--------------------|------------|---------------------------|----------------|-------------|------------------------|-------|--|
| | i | UIST | 383 | PCT/EPC | 3/06653 | | 040621 | | |
| 21. The following fees are submitted: | | | | | | | | | |
| X a) Basic national fee\$300.00 | | | | | | | \$ 300.00 | | |
| x b) Examination fee\$200.00 | | | | | | \$ 200.00 | | | |
| X c) Search fee\$500.00 | | | | | | \$ 500.00 | | | |
| TOTAL OF ABOVE CALCULATIONS = \$1000.00 | | | | | | \$1,000.00 | | | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence | | | | | | | | | |
| listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | |
| Total Sheets Extra sheets Number of each additional 50 or fraction RATE | | | | RATE | | | | | |
| | | | there | of (round up to a whole | number) | | | | |
| - 100 = | | /50 = | | | | × \$250.00 | \$ 00 | | |
| Surcharge of \$13 claimed priority of | | | ath or dec | claration later than 30 m | onths from the | e earliest | \$ 00 | | |
| CLAIMS | | NUMBER FIL | .ED | NUMBER EXTRA RATE | | \$ | | | |
| Total claims | | 47 | - 20 = | 27 | × | \$50.00 | \$1,350.00 | | |
| Independent dái | ims | 2 : | - 3 = | 0 | × | \$200.00 | \$ | | |
| MULTIPLE DEP | ENDE | NT CLAIM(S) (if ap | plicable) | | + | \$360.00 | \$ | | |
| | | | | | | CULATIONS = | \$2,350.00 | | |
| Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by ½. | | | | | | | \$ 00 | | |
| SUBTOTAL = | | | | | | \$1,175.00 | | | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | \$ 00 | | | |
| TOTAL NATIONAL FEE = | | | | | | \$1,175.00 | | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | | \$ 00 | | | |
| | | | | ТС | OTAL FEES E | NCLOSED = | \$1,175.00 | | |
| | | | | | | | Amount to be refunded: | \$ 00 | |
| | | | | | | | Amount to be charged: | \$ 00 | |
| le l | | | | | | | charged. | | |
| a. X A check in the amount of \$ 1,175.00 to cover the above fees is enclosed. | | | | | | | | | |
| b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit t Account No. 02-4553. A duplicate copy of this sheet is enclosed. | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | | | | |
| SEND ALL CORRESPONDENCE TO: | | | | | | | | | |
| Buchanan Ingersoll, PC | | | | | | | | | |
| One Oxford Centre SIGNATURE | | | | | | | | | |
| 301 Grant Street, 20th Floor John E. Grosselin, III | | | | | | | | | |
| Pittsbu | Pittsburgh, Pennsylvania 15219 NAME | | | | | | | | |
| | 38,478 REGISTRATION NUMBER | | | | | | | | |
| REGISTRATION NUMBER | | | | | | | | | |